

WORKMAN NYDEGGER & SEELEY

ATTORNEYS AT LAW
A PROFESSIONAL CORPORATION
1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
TELEPHONE (801) 533-9800
FACSIMILE (801) 328-1707

RICK D. NYDEGGER
DAVID O. SEELEY
BRENT P. LORIMER
THOMAS R. VUKSINICK
LARRY R. LAYCOCK
JONATHAN W. RICHARDS
DAVID R. WRIGHT
JOHN C. STRINGHAM
JOHN M. GUYNN
CHARLES L. ROBERTS
GREGORY M. TAYLOR
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ERIC L. MASCHOFF
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ROBYN L. PHILLIPS
RICHARD C. GILMORE†
DAVID B. DELLENBACH
R. BURNS ISRAELSEN
DAVID R. TODD
L. DAVID GRIFFIN
ADRIAN J. LEE
FRASER D. ROY

CARL T. REED
R. PARRISH FREEMAN, JR.
PETER F. MALEN, JR.
L. REX SEARS, PH.D.
ERIC M. KAMERATH
ROBERT E. AYCOCK
JENS C. JENKINS
KEVIN W. STINGER
WILLIAM J. ATHAY
MICHAEL B. DODD
WILLIAM R. RICHTER
RYAN D. BENSON
SARA D. JONES
TIGE KELLER
MATTHEW D. TODD
J. LAVAR OLDHAM
JOSEPH L. KRUPA
BRETT A. HANSEN
BRETT I. JOHNSON
MATTHEW A. BARLOW

† ADMITTED ONLY IN CALIFORNIA

PATENT APPLICATION
Docket No. 15436.200

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MAILING ADDRESS:
P.O. BOX 45862
SALT LAKE CITY, UT 84145

INTERNET
HOME PAGE: <http://www.wnspatent.com>
GENERAL E-MAIL: info@wnspatent.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL FOR PATENT APPLICATION

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled HEAT SPREADER FOR OPTICAL TRANSCEIVER COMPONENTS in the name of the following inventor(s): Donald A. Ice and Lewis B. Aronson.

Enclosed are the following:

- X A specification, claims, abstract, and cover page in total comprising THIRTY-NINE (39) pages.
- X EIGHT (8) sheets of drawings.
- X A joint signature Declaration, Power of Attorney and Petition.
- X An Assignment conveying the invention to Finisar Corporation, including a Form PTO 1595 recordation cover sheet.
- A Declaration Claiming Small Entity Status pursuant to 37 C.F.R. § 1.9 and § 1.27.
- The present application claims entitlement to Small Entity Status under 37 CFR §§ 1.9 and 1.27

21707 U.S. PTO
10/615107
07/08/03

____ Enclosed is a non-publication request requesting that the present application not be published.

X A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EV 302 234 620 US.

____ Priority to _____ (country) Application Serial No. _____ filed on _____ is claimed under 35 U.S.C. § 119.

____ A certified copy of foreign priority application Serial No. _____.

____ An Associate Power of Attorney.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$375		\$750
TOT. CLAIMS	32 - 20=	12	X 9=		12 X 18=	\$216
IND. CLAIMS	4 - 3=	1	X 42		1 X 84=	\$84
MULTIPLE DEPENDENT CLAIM			+140=		+280=	
			TOTAL		TOTAL	\$1,050.00

X Credit Card Payment Form PTO-2038 in the amount of \$1,090.00 is enclosed to cover:

X The \$1,050.00 government filing fee.

X The \$40.00 recordation fee of the enclosed assignment.

____ Please debit Deposit Account No. 23-3178 in the amount of \$_____ for the government filing fee.

____ Please debit Deposit Account No. 23-3178 in the amount of \$40.00 for recordation of the enclosed Assignment.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.

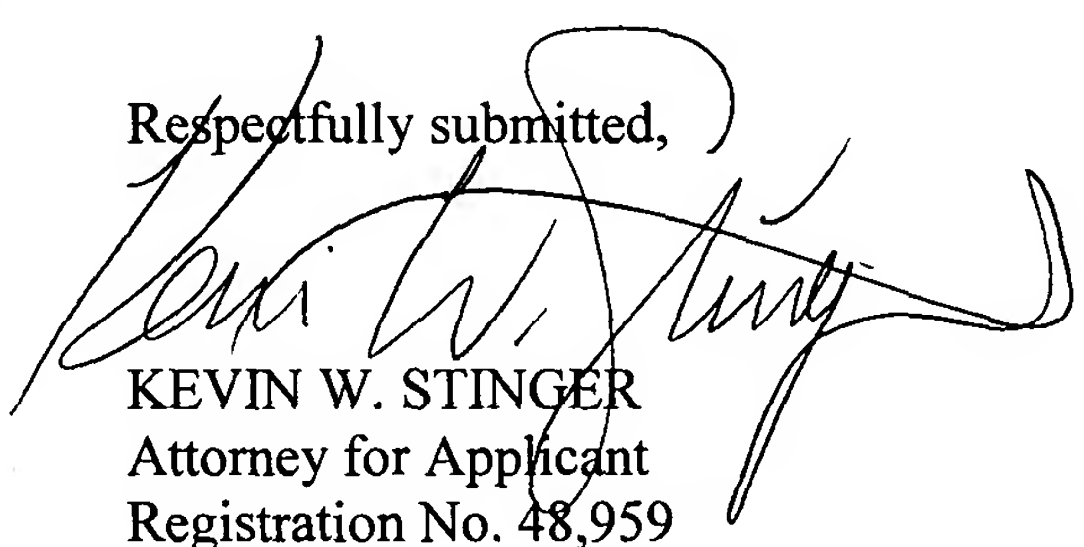
X Any additional filing fees required under 37 C.F.R. § 1.16.

- X Any patent application processing fees under 37 C.F.R. § 1.17.
- X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.
- X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.
- X Any patent application processing fees under 37 C.F.R. § 1.17.
- The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
- X A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 8th day of July, 2003.

Respectfully submitted,


KEVIN W. STINGER
Attorney for Applicant
Registration No. 48,959



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PATENT TRADEMARK OFFICE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

"Express Mail" Mailing Label No.: EV 302 234 620 US

Date of Deposit: July 8, 2003

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Patent Application in the name(s) of Donald A. Ice and Lewis B. Aronson for HEAT SPREADER FOR OPTICAL TRANSCEIVER COMPONENTS (39 pages)
- Drawings (8 sheets)
- Assignment with Form PTO-1595 cover sheet (5 pages)
- Declaration, Power of Attorney and Petition (3 pages)
- Transmittal Letter (3 pages) (in duplicate)
- Credit Card Payment Form PTO-2038 for \$1,090.00
- Certificate of Express Mailing
- Postcard

Dated this 8th day of July, 2003.

Respectfully submitted,



JoAnn Cook, Assistant to
KEVIN W. STINGER
Attorney for Applicant
Registration No. 48,959

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800

DBD:jac

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